

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Hsing Cheng
Hamid R. Khazaei
Harmeet Singh
Emily M. Squires

Examiner:

Group Art:

Title: OPTICAL GRATING BASED
MULTIPLEXER DEVICE WITH
POWER TAP CAPABILITY

Serial No.:

Filed: October 22, 2003

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Applicants respectively request that the following references be considered in the examination of the above-identified application. A copy of each reference is enclosed.

Cited Art

U.S. Patent No. 5,748,815 (Hamel et al.), issued on May 5, 1998, and cited at page 1 of the specification.

US 2002/0057975 A1 (Kaneko), published on May 16, 2002, and cited at page 2 of the specification.

UK Patent GB 2,351,360, published December 27, 2000.

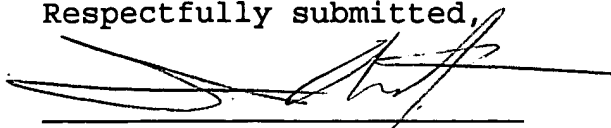
A book entitled "Introduction to Optics" by Frank L. Pedrotti and Lno S. Pedrotti, published by Prentice Hall, 1987, pages 415 and 421, and cited on page 11 of the specification.

REMARKS

Under Rule 37 C.F.R. 1.98(a), which is effective as of March 16, 1992, applicant submits that no specific comments are necessary for any of the above cited English Language publications.

For the Examiner's convenience, applicants have attached a completed PTO-1449 form hereto.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Irwin Ostroff', is written over a horizontal line.

Irwin Ostroff
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, 2003

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LIST OF CITATIONS BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Hsing Cheng et al.

FILING DATE

October 22, 2003

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5 7 4 8 8 1 5	5/5/98	A. Hamed et al.	385	37	8/28/96
AB	2 0 0 2 / 0 0	57875 5/16/02	T. Kaneko			11/15/01
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AL 2 3 5 1 3 6 0	12/27/00	United Kingdom			
AM					
AN					
AO					
AP					

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Book entitled " Introduction To Optics", by Frank L. Pedrotti and Leno S. Pedrotti, Prentice Hall, 1987, pages 415 and 421.
AS	
AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.